



2171
#3

PATENT
Docket No. 360322000300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on March 30, 2001.

C. Wilson
C. Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

D. S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: A METHOD AND APPARATUS FOR A
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

RECEIVED
APR - 9 2001
TC 2100 MAILROOM

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 360322000300. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 30, 2001

Respectfully submitted,

By: A. Randall Camacho
A. Randall Camacho
Registration No. 46,595

Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105-2482
Telephone: (415) 268-7886
Facsimile: (415) 268-7522

Form PTO-1449

Docket Number 360322000300

Application Number 09/759,856

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

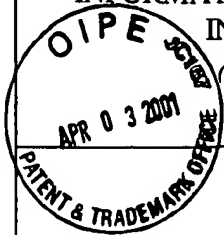
Applicant

D. S. LIPKIN et al.

Filing Date January 12, 2001

Group Art Unit 2171

Mailing Date


U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
JX	1.	9/26/2000	6,125,363	Buzzeo et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

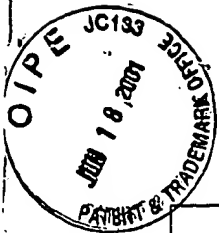
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APR - 9 2001
TC 2100 MAILROOM

EXAMINER:

DATE CONSIDERED:

2-5-2005

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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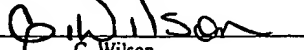
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Technology Center 2100

PATENT
Docket No. 360322000300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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C. Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

SUPPLEMENTAL INFORMATION DISCLOSURE
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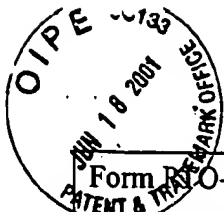
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Dated: June 12, 2001

Respectfully submitted,

By: A. Randall Camacho
A. Randall Camacho
Registration No. 46,595
Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105-2482
Telephone: (415) 268-7886
Facsimile: (415) 268-7522



Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

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Docket Number 360322000300

Application Number 09/759,856

Applicant

Daniel S. LIPKIN et al.

Filing Date January 12, 2001

Group Art Unit 2171

Mailing Date June 12, 2001

JUN 19 2001

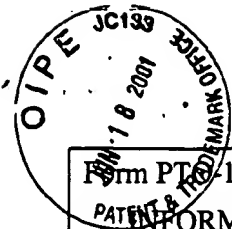
Technology Center 2100 U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/20/87	4,701,130	Whitney et al.			
	2.	1/23/90	4,895,518	Arnold et al.			
	3.	3/30/93	5,199,068	Cox			
	4.	11/9/93	5,259,766	Sack et al.			
	5.	12/7/93	5,267,865	Lee et al.			
	6.	12/14/93	5,270,920	Pearse et al.			
	7.	4/5/94	5,301,270	Steinberg et al.			
	8.	5/10/94	5,310,349	Daniels et al.			
	9.	5/10/94	5,311,422	Loftin et al.			
	10.	7/5/94	5,326,270	Ostby et al.			
	11.	1/10/95	5,381,332	Wood			
	12.	3/7/95	5,395,243	Lubin et al.			
	13.	6/6/95	5,421,730	Lasker, III et al.			
	14.	3/12/96	5,499,293	Behram et al.			
	15.	8/20/96	5,548,506	Srinivasan			
	16.	1/28/97	5,597,312	Bloom et al.			
	17.	8/5/97	5,655,118	Heindel et al.			
	18.	6/2/98	5,761,063	Jannette et al.			
	19.	6/9/98	5,765,140	Knudson et al.			
	20.	8/4/98	5,788,504	Rice et al.			
	21.	8/18/98	5,795,155	Morrel-Samuels			
	22.	10/20/98	5,826,252	Wolters, Jr. et al.			
	23.	11/3/98	5,829,983	Koyama et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Form PTO-1449 PATENT INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number	360322000300	Application Number	09/759,856
	Applicant Daniel S. LIPKIN et al.			
	Filing Date	January 12, 2001	Group Art Unit 2171	
	Mailing Date	June 12, 2001		

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
JL JL JL	24.	6/19/97	97/22096	PCT				
	25.	2/5/98	98/05018	PCT				
	26.	3/12/98	98/10399	PCT				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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EXAMINER: <i>[Signature]</i>	DATE CONSIDERED: <i>25 2001</i>
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2171/1.08.09

PATENT
Docket No. 360322000300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on September 14, 2001.

Valerie Cohen

Valerie Cohen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel S. LIPKIN et al.

Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A
WEB CONTENT PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

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Technology Center 2100

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

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Dated: September 14, 2001

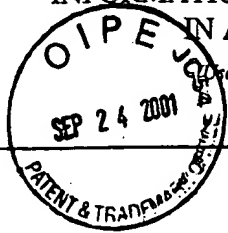
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 360322000300

Application Number 09/759,856

Applicant

Daniel S. LIPKIN et al.

Filing Date: January 12, 2001

Group Art Unit 2171

Mailing Date: September 14, 2001

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
JH	1.	P. Ciancarini et al., "An extensible rendering engine for XML and HTML", Comp. Networks, and ISDN Systems 30, 1998, pp. 225-237.
JH	2.	F. Vitali et al., "Extending HTML in a principled way with displets", Comp. Networks, and ISDN Systems 29, 1997, pp. 1115-1128.
JH	3.	"Multi-modal Data Access", Research Disclosure, Oct. 1999, 8 pgs.
JH	4.	"Converting HTML To Well Formed XML With Preference Based Tag Expansion", Research Disclosure, July 1999, 3 pgs.

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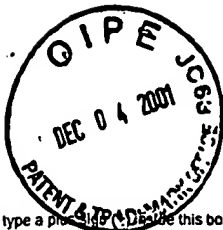
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EXAMINER:

DATE CONSIDERED:

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/759,858
	Filing Date	January 12, 2001
	First Named Inventor	Daniel S. LIPKIN
	Examiner Name	To Be Assigned
	Group Art Unit	2171
Total Number Of Pages In This Submission	13 + 1 Ref.	Attorney Docket No. 360322000300

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosures (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. International Search Report (8 pages)
<input checked="" type="checkbox"/> Information Disclosure Statement w/Form 1449 (4 pages)	<input type="checkbox"/> Request for Refund	2. One (1) Reference
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

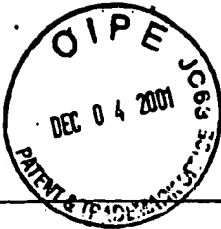
Firm or Individual Name	Morrison & Foerster, 425 Market Street, San Francisco, CA 94105
Signature	A. Randall Camacho, Reg. No. 46,595
Date	November 26, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Valerie Cohen
Valerie Cohen

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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Serial No.: 09/759,856

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A
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Examiner: To Be Assigned

Group Art Unit: 2171

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE
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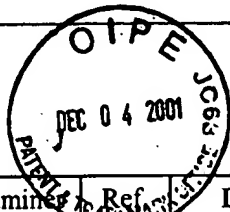
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Telephone: (415) 268-7886
Facsimile: (415) 268-7522

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 360322000300	Application Number 09/759,856
	Applicant Daniel S. LIPKIN et al.	
	Filing Date: January 12, 2001	Group Art. No. 2171
	Mailing Date: November 26, 2001	



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Technology Center 2100

U.S. PATENT DOCUMENTS

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<i>JS</i>	1.	9/11/2001	6,289,382 B1	Bowman-Amuah			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

Smith Schmitt

DATE CONSIDERED:

2-5-2005

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.